



Concept Paper Form

Provisional Paper Title: Associations between lung function and retinal vascular health
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P.I. Sponsor: (if the proposing author is a student or colleague of an original PI)
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Objective of the study:

To assess cross-sectional and longitudinal associations between lung function and vascular health as identified by the retinal vasculature.

**This is an update on an earlier concept plan. It has been revised to include the foveal avascular zone measured at phase 45.*

Data analysis methods:

Multiple linear regression using measures of retinal arteriolar and venular calibre as the dependent variables. The primary outcome variables will be the central retinal arteriolar equivalent calibre (CRAE), the central retinal venule equivalent (CRVE) calibre, the arteriolar/venule ratio (AVR), and parameters of the foveal avascular zone. Measures of lung function (FEV1, FVC, and the FEV1/FVC ratio) will be the main predictors. Supplementary analyses will use measures of lung function (TLC, FRC, RV, sGaw, Raw, DLco, and Kco) as predictors.

Initial analyses will explore cross-sectional associations at age 38 (for CRAE and CRVE) and at age 45 for the foveal avascular zone. Longitudinal analyses of changes in lung function and changes in CRAE and CRVE between age 38 and 45 will follow.

All analyses will be adjusted for height and sex. Additional analyses will be adjusted for potential confounders including smoking (pack years), BMI, systolic

and diastolic blood pressure, and CRP. The effect of a childhood or adult asthma diagnosis will be considered and separate analyses will be conducted for those with and without these diagnoses.

Supplementary analyses will also consider other measures of lung function (IOS measurements) as predictors.

We will test for sex*lung function and smoking*lung function interactions and conduct separate analyses if these indicate effect modification.

Variables needed at which ages:

Lung function from age 38 and 45

Retinal microvascular calibre measures from age 38 & 45

Retinal avascular zone at age 45 – size, perimeter, fractal

Smoking history

Asthma diagnoses

Height & Weight & BMI

CRP

Significance of the Study (for theory, research methods or clinical practice):

People with respiratory disease and poor lung function have a greatly increased risk of cardiovascular disease. The mechanism of this increased risk is poorly understood and not fully explained by smoking because even never smokers have a higher risk of cardiac death if they have poor lung function. The question that this analysis will address is whether poor lung function is associated with impaired systemic vasculopathy as indicated by retinal microvasculature measurements. Retinal blood vessel calibre and the arteriolar/venule ratio is associated with a number of cardiovascular risk factors and is an indicator of microvascular damage. The foveal avascular zone is a preclinical indicator of capillary damage. A cross-sectional study of a mixed age sample of adults found FEV₁ and FEV₁/FVC to be inversely associated with retinal venule size: smaller venules were associated with better lung function (larger venules are associated with CV risk).¹ We are not aware of any other cross-sectional or longitudinal studies.

Further, we propose to investigate possible confounding and mediating explanations for an association if one is identified. These include common risk factors such as smoking, blood pressure, and inflammation (CRP).

Because we have identified sex differences in the association between lung function and other CVS risk factors – including BMI² and endothelial function³, we will investigate sex interactions in this analysis. Also, it has been suggested that the association between lung and microvascular changes may be due to smoking¹, so we will also investigate effect modifications by smoking.

This analysis will shed light on the association between respiratory and

cardiovascular health and has the potential to offer a biologically plausible explanation for the increased risk of cardiovascular disease in people with impaired lung function.

It is acknowledged that there are multiple predictors and potential outcomes in these analyses. As an exploratory study, no adjustment will be made for multiple analyses. We will test the hypothesis that lung function is associated with retinal vascular health using the overall pattern of findings. If there are only one or two statistically significant results among a large number of negative findings, these will be interpreted cautiously.

References:

1. Harris *et al.* 2012. The association of systemic microvascular changes with lung function and lung density: a cross-sectional study. PLOS One. 2012; 7: e50224
2. Sutherland *et al.* The relationship between body fat and respiratory function in young adults. Eur Respir J. 2016; 48; 734-47
3. Hancox *et al.* Associations between lung and endothelial function in early middle age. Respirology (online 15/5/2019)